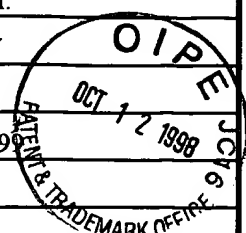


3

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)	Attorney Docket Number	AERX-021US1
	First Named Inventor	Gonda, et al.
	COMPLETE IF KNOWN	
	Application Number	09/330,903
	Filing Date	June 11, 1999
	Group Art Unit	1635
	Examiner Name	N/A



As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Methods of Delivering Aerosolized Polynucleotides to the Respiratory Tract

the specification of which:

☐ is attached hereto
OR
☒ was filed on June 11, 1999 as United States Application Number or PCT International Application Number 09/330,903 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined by 37 CFR 1.56.

Insofar as the subject matter of each of the claims of this application are not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designating at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) having a filing date before that of the application(s) of which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

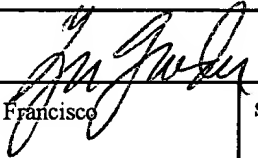
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/089,146	06/12/98

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application(s) designating the United States of America, listed below.

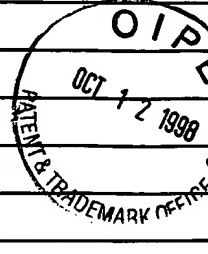
U.S. Parent Application or PCT Parent Number		Parent Filing Date (MM/DD/YYYY)		Parent Patent Number (if applicable)	
08/752,946		11/21/96		5,906,202	
DIRECT ALL CORRESPONDENCE TO:					
Name	Karl Bozicevic				
Address	BOZICEVIC, FIELD & FRANCIS LLP				
Address	285 Hamilton Avenue, Suite 200				
City, State, Zip	Palo Alto, California 94301				
Country	U.S.A.	Telephone	650-327-3400	Facsimile	650-327-3231

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:							
Given Name (first and middle [if any])				Family Name or Surname			
Igor				Gonda			
Inventor's Signature						Date	10/3/99
Residence: City	San Francisco	State	CA	Country	USA	Citizenship	British/ Australian
Post Office Address	2351 Larkin Street						
City	San Francisco	State	CA	Zip	94109	Country	USA

Name of Second Inventor:							
Given Name (first and middle [if any])				Family Name or Surname			
Hans				Schreier			
Inventor's Signature						Date	
Residence: City	Sebastopol	State	CA	Country	USA	Citizenship	German
Post Office Address	3046 Stone Station Road						
City	Sebastopol	State	CA	Zip	95472	Country	USA

3

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	AERX-021US1	
	First Named Inventor	Gonda, et al.	
	COMPLETE IF KNOWN		
	Application Number	09/330,903	
	Filing Date	June 11, 1999	
	Group Art Unit	1635	
<input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)		Examiner Name	N/A

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Methods of Delivering Aerosolized Polynucleotides to the Respiratory Tract

the specification of which:

☐ is attached hereto

OR

☒ was filed on June 11, 1999 as United States Application Number or PCT International Application Number 09/330,903 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined by 37 CFR 1.56.

Insofar as the subject matter of each of the claims of this application are not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designating at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) having a filing date before that of the application(s) of which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			— — — —	— — — —	— — — —

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/089,146	06/12/98

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application(s) designating the United States of America, listed below.


U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
08/752,946	11/21/96	5,906,202

DIRECT ALL CORRESPONDENCE TO:

Name	Karl Bozicevic				
Address	BOZICEVIC, FIELD & FRANCIS LLP				
Address	285 Hamilton Avenue, Suite 200				
City, State, Zip	Palo Alto, California 94301				
Country	U.S.A.	Telephone	650-327-3400	Facsimile	650-327-3231

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:							
Given Name (first and middle [if any])				Family Name or Surname			
Igor				Gonda			
Inventor's Signature						Date	
Residence: City	San Francisco	State	CA	Country	USA	Citizenship	British/ Australian
Post Office Address	2351 Larkin Street						
City	San Francisco	State	CA	Zip	94109	Country	USA

Name of Second Inventor:							
Given Name (first and middle [if any])				Family Name or Surname			
Hans				Schreier			
Inventor's Signature						Date	9/22/99
Residence: City	Sebastopol	State	CA	Country	USA	Citizenship	German
Post Office Address	3046 Stone Station Road						
City	Sebastopol	State	CA	Zip	95472	Country	USA